SEC Form 3

## FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Marker Beth A	2. Date of Event Requiring Statement (Month/Day/Year) 11/12/2024	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Heritage Distilling Holding Company, Inc.</u>	uer Name <b>and</b> Ticker or Trading Symbol <u>itage Distilling Holding Company, Inc.</u> [ CASK ]			
(Last) (First) (Middle) C/O HERITAGE DISTILLING HOLDING COMPANY 9668 BUJACICH ROAD		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) SVP of Retail Operations	5. If Amendment, Date of Original Filed (Month/Day/Year) 11/18/2024			
(Street) GIG HARBOR WA 98332 (City) (State) (Zip)	_		<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>			

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)			2. Amount of Securities 3. Ownership Beneficially Owned (Instr. 4) Form: Direct (I Indirect (I) (In:		(D) or 5)		
			e Securities Beneficially Ow Ints, options, convertible se		,		
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)	
Warrants to Puchase Shares of Common Stock	(1)	11/25/2026	Common Stock	10	8	D	
Warrants to Puchase Shares of Common Stock	(1)	05/25/2027	Common Stock	20	12	D	
			1		1	i	i i i i i i i i i i i i i i i i i i i

#### Explanation of Responses:

Warrants to Puchase Shares of Common Stock

1. The initial exercise date of the warrant begins at any time on or after the date on which the volume-weighted average market trading price of the common stock of the Issuer equals or exceeds the exercise price over any period of ten (10) consecutive trading days.

Common Stock

Common Stock

2. The option was granted on June 22, 2018. The option vested over a period of four years from the vesting commencement date of May 1, 2018. The option is fully vested and is subject to the expiration of the lock-up agreement, executed by reporting person, which is 180 days following the date of the underwriting agreement between Issuer and Newbridge Securities Corporation, acting as representative to several underwriters.

#### Remarks:

Stock Options

This Amended Form 3 is being filed to correct errors from the initial filing for the reporting person's ownership of securities of the Issuer. No new transactions are being reported herein.

11/25/2029

06/21/2025

(1)

05/01/2022(2)

/s/ Justin B. Stiefel, attorney-in-	02/07/2025		
fact for Beth A. Marker	02/07/2023		
** Signature of Reporting Person	Date		

20

157.89

D

D

25

184

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.